

Human Resource Adminstrator Standards & Evaluation Process | 2017

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Table of Contents

Introduction	1
Section I NCSA Administrator Standards	
Part 1 Standards	4
Part 2 Standards and Indicators	5
Part 3 Standards, Indicators, and Evidence	
Section II NCSA Administrator Evaluation System	19
Process Guidelines	
Definitions	19
Administrator Evaluation Instrument	
Section III Resources	
Recommended Evaluation Timelines	33
Standards and Evaluation Checklist	34
Improvement Plan	34
Evidence and Artifacts	34
Section IV References	35

Introduction

In 2015, the Nebraska Council of School Administrators (NCSA) initiated the development of the NCSA Superintendent Standards and Evaluation Process to bring clarity and consistency to the performance expectations and evaluation of superintendents across Nebraska. NCSA, with input from members, determined it was time to establish superintendent standards and an evaluation process as a part of the state-wide focus on accountability and continuous improvement of Nebraska schools. In 2016, NCSA decided to expand on the work of standards and evaluation by developing NCSA Administrative Standards and Evaluation Process guides for administrators serving in a variety of administrative roles. The role of all administrators is critical to the success of the education system. This process is designed to guide the conversation between the superintendent and district administrators in a collaborative approach for performance improvement of the administrator and the education system.

The **NCSA Administrator Standards and Evaluation Process** include guides for the following administrative positions:

Business Administrator: This guide is designed for all administrative positions that have a responsibility for financial and business processes.

Curriculum Administrator: This guide is designed for all administrative positions that have a responsibility for processes associated with curriculum, instruction and assessment.

Human Resource Administrator: This guide is designed for all administrative positions that have a responsibility for human resource and personnel functions and processes.

Professional Development Administrator: This guide is designed for all administrative positions that have a responsibility for designing implementing and monitoring professional development processes and activities.

Special Education Administrator: This guide is designed for all administrative positions that have a responsibility for special education and/or student services.

The NCSA Administrator Standards and Evaluation Process serves to:

- communicate the diverse and complex expectations of the role of the district or ESU administrator;
- guide the superintendent or ESU Administrator in understanding the expectations of performance and duties of the district or ESU administrator;
- provide professional organizations and institutions of higher education with information on the education and training needs of today's administrators;
- provide a framework for ongoing professional development needs of the administrator; and
- create a process for the consistency in the expectations and evaluation of district or ESU administrators.

Input from across Nebraska and from national, state, and local resources created a foundation for the NCSA Administrator Standards and Evaluation Process. The standards and evaluation process is aligned with the processes developed by NCSA for superintendents and ESU administrators. The NCSA Standards and Evaluation Process was cross-referenced to the Nebraska Department of Education Title 92 Chapter 10 (Rule 10) Regulations and Procedures for the Accreditation of Schools and the NDE Principal Frameworks. Finally, the NCSA Standards and Evaluation Process was validated through a state-wide review process including input from administrators throughout the state.

For more information, see Section IV: References.

This guide consists of four sections. Each section may be used individually to help inform and guide school leadership or collectively as a foundation for successful school leadership. After this introduction, the sections are as follows:

Section I—NCSA Human Resource Administrator Standards
Section II—NCSA Human Resource Administrator Evaluation Process
Section III—Resources
Section IV—References

Section I NCSA Human Resource Administrator Standards

The NCSA Human Resource Administrator Standards were developed through a synthesis of references and resources including: a review of literature; standards, domains or characteristics defined in several states; and, standards defined by national organizations. The most commonly used set of standards, the Professional Standards for Educational Leadership (PSEL), was published in 2015. The standards, also referred to as the Interstate School Leaders Licensure Consortium (ISLLC) standards, were first published in 1996 by the Council of Chief State School Officers (CCSSO). The PSEL standards have been the foundation for school leaders at the building and district level. Though not developed specifically for the school human resource administrator, they have been used in numerous states as the foundation for Human Resource Administrator Standards. The NCSA Human Resource Administrator Standards were developed using the NCSA Superintendent Standards as a template and were also cross-referenced with the Nebraska Department of Education Teacher and Principal Performance Frameworks.

It is important that there be standards, indicators and evidence designed specifically for the unique role of the human resource administrator. The human resource administrator provides the leadership on all personnel matters for the organization. In this critical role, it is the responsibility of the human resource administrator to see that all key personnel elements in the system come together to ensure success for the organization. These standards serve as a roadmap to communicate to all key stakeholders the important elements that frame the success of the human resource administrator and, ultimately, the students.

The NCSA Human Resource Administrator Standards are organized as follows:

Standards: A broad statement regarding knowledge, skills, and abilities; and the expectations of the position.

Indicators: Performance-based statements that provide a clear understanding and description of the expected level of knowledge, skill, ability, or expectation relative to the standard.

Evidence: An item or artifact produced, developed, created, or presented to demonstrate that an indicator or standard has been met or implemented.

There are three parts to Section I NCSA Human Resource Administrator Standards:

Part 1 Standards View: This includes a listing of the human resource administrator standards.

Part 2 Standards and Indicators View: This includes the human resource administrator standards and the indicators that serve to define the standards.

Part 3 Standards, Indicators, and Evidence View: This includes the human resource administrator standards, the indicators, and examples of artifacts for each indicator. Many of the artifacts show evidence of multiple indicators or standards.

Part 1 Standards

1. Shared Vision and Strategic Direction

The administrator supports the development and implementation of the shared vision, strategic direction, and goals that engage the district's core values, beliefs, and priorities.

2. Board, Policy, and the Education System

The administrator implements board policy and district processes through transparent practices that align with the district's shared vision, strategic direction, and goals.

3. Collaboration with Families and Community

The administrator leads through collaborative processes by engaging stakeholders and utilizing community resources in support of the shared vision, strategic direction, and goals for the school district.

4. Continuous Improvement and Accountability

The administrator promotes student success through a focused and clearly articulated process of accountability and culture of continuous improvement.

5. Teaching and Learning

The administrator ensures student success through continuous improvement and leadership focused on evidence-based practices in teaching and learning.

6. Personnel Leadership

The administrator effectively uses strategies, processes, and systems to hire, develop, and retain high-performing personnel who demonstrate a shared commitment to student success.

7. Systems Leadership and Management

The administrator promotes student success by managing district resources and business procedures in a way that ensures a safe, efficient, and effective learning environment that supports student success.

8. Equity, Climate, and Culture

The administrator ensures, implements, and monitors processes, services, and programs that support district core values, beliefs, and priorities to ensure equity and enhance the academic, physical, social, and emotional growth of all students.

9. Leadership, Conduct, and Professional Growth

The administrator leads with enthusiasm, fairness, and integrity; demonstrates a high level of personal and professional conduct; participates in professional learning opportunities; and, models continuous improvement.

Part 2 Standards and Indicators

1. Shared Vision and Strategic Direction

The administrator supports the development and implementation of the shared vision, strategic direction, and goals that engage the district's core values, beliefs, and priorities.

Indicators

- 1. Develops and implements human resource systems that support the district's shared vision, strategic direction, and goals and guides the district into ensuring high expectations for student learning and success.
- 2. Provides leadership to ensure personnel processes support the current and future needs of the district and school community.
- 3. Engages the superintendent, board, and stakeholders within the district and school community in understanding the human resource needs for implementing the district's shared vision, strategic direction, and goals.
- 4. Continually models and reinforces commitment to the district's shared vision, strategic direction and goals throughout all personnel processes.

2. Board, Policy, and the Education System

The administrator implements board policy and district processes through transparent practices that align with the district's shared vision, strategic direction, and goals.

- 1. Actively and continually fosters superintendent and board relationships, and keeps the superintendent and board informed and engaged in personnel matters as needed.
- 2. Proactively responds to district needs and policy priorities.
- 3. Provides leadership in the development, implementation, and review of personnel policies, procedures and practices.
- 4. Stays current on, responds to, and advocates for state or federal personnel policies, as needed to support the district's shared vision, strategic direction, and goals.
- 5. Proactively and collaboratively works to influence local, district, state, and national policies impacting human resource issues and needs.

3. Collaboration with Families and Community

The administrator leads through collaborative processes by engaging stakeholders and utilizing community resources in support of the shared vision, strategic direction, and goals for the school district.

Indicators

- 1. Communicates regularly and openly with families and stakeholders in the community about district personnel strengths and needs.
- 2. Maintains a presence in the district and school community to understand its strengths and needs.
- 3. Understands and is engaged with community needs, priorities, and resources.
- 4. Models collaboration within the organization and encourages collaboration between administrators, teachers, families, and the community at the school level.

4. Continuous Improvement and Accountability

The administrator promotes student success through a focused and clearly articulated process of accountability and culture of continuous improvement.

- 1. Systematically reviews, anticipates, and analyzes emerging human resource trends and innovative strategies to continually improve all elements of the system.
- 2. Maintains comprehensive and current information about district personnel and district effectiveness.
- 3. Makes informed recommendations to the superintendent, and decisions are based on evidence and multiple data sources.
- 4. Engages families and communities on staff and student needs, successes, and challenges on a regular basis.
- 5. Aligns district processes with state and national indicators of quality, accreditation, and accountability.
- 6. Demonstrates a responsibility to accountability by modeling and ensuring everyone is held accountable for student success.

5. Teaching and Learning

The administrator ensures student success through continuous improvement and leadership focused on evidence-based practices in teaching and learning.

Indicators

- 1. Ensures district and school personnel provide a coherent system of curriculum, instruction, and assessment that aligns with the district's shared vision is culturally responsive and embodies high expectations.
- 2. Communicates high expectations for student achievement that is accomplished by a data-informed approach that produces effective results.
- 3. Implements robust evaluation processes to ensure district and school personnel implement curriculum and programs that are evidence-based, innovative, and provide learning experiences and opportunities that lead all students to success at the next level.
- 4. Evaluation processes ensures curricular and instructional expectations are implemented with fidelity.
- 5. Incorporates and supports the implementation of evidence-based, instructional practices through professional learning and evaluation processes.

6. Personnel Leadership

The administrator effectively uses strategies, processes, and systems to hire, develop, and retain high-performing personnel who demonstrate a shared commitment to student success.

- 1. Ensures the necessary personnel and financial resources are allocated to achieve the district's shared vision, strategic direction, and goals.
- 2. Implements human resource systems and processes that address:
 - recruitment, hiring, and induction;
 - evaluation and retention; and,
 - short-term and long-term planning reflective of personnel needs.
- 3. Creates a comprehensive system of professional learning for all personnel.

7. Systems Leadership and Management

The administrator promotes student success by managing district resources and business procedures in a way that ensures a safe, efficient, and effective learning environment that supports student success.

Indicators

- 1. Ensures financial and business processes, timelines, and systems are in place for human resource needs.
- 2. Communicates expectations that align board and district vision with the use of physical, personnel, and financial resources of the district.
- 3. Uses a systems approach that optimizes the use of personnel, equipment, facilities, and transportation while maintaining a focus on clean, updated, safe, and secure facilities and vehicles.
- 4. Identifies and resolves issues, manages conflicts, and builds consensus about the use of physical, personnel, and financial resources.

8. Equity, Climate, and Culture

The administrator ensures, implements, and monitors processes, services, and programs that support district core values, beliefs, and priorities to ensure equity and enhance the academic, physical, social, and emotional growth of all students.

- 1. Co-creates a school system in which shared vision on equity and equitable practices are the norm.
- 2. Develops personnel processes and programs that support the academic, physical, social, and emotional growth of all students.
- 3. Visibly and actively develops and communicates a positive and responsive culture of high expectations and well-being for self, staff, and all students.

9. Leadership, Conduct, and Professional Growth

The administrator leads with enthusiasm, fairness, and integrity; demonstrates a high level of personal and professional conduct; participates in professional learning opportunities; and, models continuous improvement.

- 1. Leads with dignity and respect.
- 2. Ensures consistent implementation of policy and practice.
- 3. Models and articulates ethical behavior.
- 4. Consistently holds self and others in the district accountable for demonstrating integrity and ethical behavior.
- 5. Engages in professional learning and leadership opportunities that model continuous improvement needs of self and the organization.

Part 3 Standards, Indicators, and Evidence

1. Shared Vision and Strategic Direction

The administrator supports the development and implementation of the shared vision, strategic direction, and goals that engage the district's core values, beliefs, and priorities.

- 1. Develops and implements human resource systems that support the district's shared vision, strategic direction, and goals and guides the district into ensuring high expectations for student learning and success.
 - **Evidence:** Documentation, including human resources accomplishments and outcomes align with the district's shared vision, strategic direction and goals which support high expectations for student learning and success.
- 2. Provides leadership to ensure personnel processes support the current and future needs of the district and school community.
 - **Evidence:** Documentation of human resource processes and planning support the current and future needs of the district and school community.
- 3. Engages the superintendent, board, and stakeholders within the district and school community in understanding the human resource needs for implementing the district's shared vision, strategic direction, and goals.
 - **Evidence:** Board, staff, and community presentations and updates illustrate the relationship between the district's shared vision, strategic direction, goals and personnel needs.
- 4. Continually models and reinforces commitment to the district's shared vision, strategic direction, and goals throughout all personnel processes.
 - **Evidence**: Human resource recruitment, hiring, orientation, and evaluation processes and materials reinforce the district's shared vision, strategic direction, and goals.

2. Board, Policy, and the Education System

The administrator implements board policy and district processes through transparent practices that align with the district's shared vision, strategic direction, and goals.

- 1. Actively and continually fosters superintendent and board relationships, and keeps the superintendent and board informed and engaged in personnel matters as needed.
 - **Evidence:** Board agendas and communication keep the superintendent and board members informed on all human resource aspects of the district.
 - **Evidence:** Processes are in place to solicit input from the superintendent, board, and community on personnel processes.
- 2. Proactively responds to district needs and policy priorities.
 - **Evidence:** Board agendas, reports, and communication are used to inform the superintendent, board, and community on district personnel needs and priorities.
- 3. Provides leadership in the development, implementation, and review of personnel policies, procedures and practices.
 - **Evidence:** Board personnel policies, procedures, and practices are current, aligned with state and local policy, and keep the district in compliance with state and federal labor and employment regulations.
- 4. Stays current on, responds to, and advocates for state or federal personnel policies, as needed to support the district's shared vision, strategic direction, and goals.
 - **Evidence:** Reports to board members, superintendent and staff, reflect up-to-date information on state or federal labor and employment policies, as needed.
- 5. Proactively and collaboratively works to influence local, district, state, and national policies impacting human resource issues and needs.
 - Evidence: Board reports, board communication, and professional development show evidence of engagement of key stakeholders and participation in activities that support district, state, and national policy decisions that impact labor, employment and personnel.

3. Collaboration with Families and Community

The administrator leads through collaborative processes by engaging stakeholders and utilizing community resources in support of the shared vision, strategic direction, and goals for the school district.

- 1. Communicates regularly and openly with families and stakeholders in the community about district personnel strengths and needs.
 - **Evidence:** Newsletters, newspaper articles, web articles, and other media, incorporate human resources information or needs.
- 2. Maintains a presence in the district and school community to understand its strengths and needs.
 - **Evidence:** Participation in community events, facilitating community meetings or informational sessions, or conducting needs assessments or community surveys.
- 3. Understands and is engaged with community needs, priorities, and resources.
 - **Evidence:** Community surveys, data on community needs, and community engagement plan incorporate human resource information or needs.
- 4. Models collaboration within the organization and encourages collaboration between administrators, teachers, families, and the community at the school level.
 - **Evidence:** Personnel reports, presentations, and updates reflect collaboration within organization.
 - **Evidence:** Human resource activities and professional development reinforce collaboration between administrators and teachers.
 - **Evidence:** All human resource aspects of strategic planning and school improvement planning are developed with collaborative input through engagement of administrators, teachers, families, and the community.
 - **Evidence**: Open houses, family nights, parent-teacher conferences, and individualized educational planning involve collaboration between administrators, teachers, families, and the community.
 - **Evidence:** School climate surveys include input from students, teachers, parents and the community, and results are shared internally and externally.

4. Continuous Improvement and Accountability

The administrator promotes student success through a focused and clearly articulated process of accountability and culture of continuous improvement.

- 1. Systematically reviews, anticipates, and analyzes emerging human resource trends and innovative strategies to continually improve all elements of the system.
 - **Evidence:** Reports and presentations keep the superintendent, board and staff informed of emerging human resources trends and innovative strategies to continually improve all schools in the district.
- 2. Maintains comprehensive and current information about district personnel and district effectiveness.
 - **Evidence:** Robust, comprehensive and strategic improvement plans for the district and each school are based on comprehensive and current personnel information.
- 3. Makes informed recommendations to the superintendent, and decisions are based on evidence and multiple data sources.
 - **Evidence:** Multiple data sources are used and clearly explained in reports and recommendations.
- 4. Engages families and communities on staff and student needs, successes, and challenges on a regular basis.
 - **Evidence**: Administrative and board reports routinely provide information regarding activities to engage the family and community on staff and student needs, successes, and challenges.
- 5. Aligns district processes with state and national indicators of quality, accreditation, and accountability.
 - **Evidence:** Board reports provide information on school and district accountability and accreditation (NDE Rule 2, 6, 10, 11, 15, 17, 19, 20, 21, 22, 23, 24, 25, 26, 27, 59, and 91); and district policies, procedures, and practice align with state and national expectations of accreditation and accountability.
- 6. Demonstrates a responsibility to accountability by modeling and ensuring everyone is held accountable for student success.
 - **Evidence:** Board policies and procedures define a system of accountability, and reports and presentations keep the superintendent, board, and community informed about district and school accountability.

5. Teaching and Learning

The administrator ensures student success through continuous improvement and leadership focused on evidence-based practices in teaching and learning.

- 1. Ensures district and school personnel provide a coherent system of curriculum, instruction, and assessment that aligns with the district's shared vision is culturally responsive and embodies high expectations.
 - **Evidence:** Human resources are allocated to support approved curriculum and programs.
- 2. Communicates high expectations for student achievement that is accomplished by a data-informed approach that produces effective results.
 - **Evidence:** District or school improvement plans clearly articulate data-informed high expectations that are incorporated into the curriculum and instructional plans of district and school(s).
- 3. Implements robust evaluation processes to ensure district and school personnel implement curriculum and programs that are evidence-based, innovative, and provide learning experiences and opportunities that lead all students to success at the next level.
 - **Evidence:** District or school improvement plans clearly articulate data-informed high expectations that are incorporated into the evaluation of teachers and administrators.
 - **Evidence:** Evaluation processes ensure fidelity to evidence-based and innovative practices in curriculum, instruction, and assessment.
- 4. Evaluation processes ensures curricular and instructional expectations are implemented with fidelity.
 - **Evidence:** District curriculum and program expectations are clearly defined for all instructional programs.
- 5. Incorporates and supports the implementation of evidence-based, instructional practices through professional learning and evaluation processes.
 - **Evidence:** Personnel practices, procedures and professional learning incorporate the teacher's use of high expectations and instructional best practices.

6. Personnel Leadership

The administrator effectively uses strategies, processes, and systems to hire, develop, and retain high-performing personnel who demonstrate a shared commitment to student success.

- 1. Ensures the necessary personnel and financial resources are allocated to achieve the district's shared vision, strategic direction, and goals.
 - **Evidence:** Reports, presentations and the district budget reinforce that personnel and financial systems are in place to achieve the district's shared vision, strategic direction, and goals.
 - **Evidence:** Budget and hiring processes and timelines are available and communicated to the superintendent, board members, and the community.
- 2. Implements human resource systems and processes that address:
 - recruitment, hiring, and induction;
 - evaluation and retention; and
 - short-term and long-term planning reflective of personnel needs.
 - **Evidence:** Communication processes inform the superintendent, board, and community regarding recruitment and employment opportunities within the school district.
 - **Evidence:** District procedures are in place and available for review by the superintendent, board, and the community regarding the evaluation process of all personnel.
 - **Evidence:** Strategies for recognition and positive reinforcement of all personnel are used to support retention.
 - **Evidence:** Board reports, presentations, and personnel data reflect district policies and procedures are in place and consistently used to support personnel needs in the district.
 - **Evidence:** Short-term and long-term plans are in place to support recruitment, development, and retention of all personnel.
- 3. Creates a comprehensive system of professional learning for all personnel.
 - **Evidence:** District policies, procedures, and practice define professional learning expectations.
 - **Evidence:** School improvement plans delineate professional learning expectations and needs for improving student achievement.
 - **Evidence:** The administrator's professional learning plan aligns with district needs and priorities and demonstrates a commitment to lifelong learning.

7. Systems Leadership and Management

The administrator promotes student success by managing district resources and business procedures in a way that ensures a safe, efficient, and effective learning environment that supports student success.

- 1. Ensures financial and business processes timelines, and systems are in place for human resource needs.
 - **Evidence**: Superintendent and board reports on budget and financial status demonstrate district policies, procedures, and practices are aligned with effective use of business processes and systems in all aspects of the district's human resource system.
- 2. Communicates expectations that align board and district vision with the use of physical, personnel, and financial resources of the district.
 - **Evidence**: Reports and presentations on use of physical, personnel, and financial resources prioritize student learning and student success and reflect an alignment with the district's shared vision, strategic direction, and goals.
- 3. Uses a systems approach that optimizes the use of personnel, equipment, facilities, and transportation while maintaining a focus on clean, updated, safe, and secure facilities and vehicles.
 - **Evidence:** Short-term and long-term plans for personnel needs related to equipment, facilities, and transportation are available and routinely updated.
 - **Evidence:** Facilities are clean, updated, safe, and secure.
 - **Evidence:** Equipment, facilities, grounds and vehicles are well-maintained, clean, and safe.
 - **Evidence:** Schools and classrooms are inviting, engaging, and student-centered.
- 4. Identifies and resolves issues, manages conflicts, and builds consensus about the use of physical, personnel, and financial resources.
 - **Evidence:** District policies, procedures, and practices define processes for handling conflict, and routine communication keeps superintendent informed of operational issues and the resolution of such issues.

8. Equity, Climate, and Culture

The administrator ensures, implements, and monitors processes, services, and programs that support district core values, beliefs, and priorities to ensure equity and enhance the academic, physical, social, and emotional growth of all students.

- 1. Co-creates a school system in which shared vision on equity and equitable practices are the norm.
 - **Evidence:** District practices including communication plans and community/student/staff surveys of climate and culture reinforce a shared vision of equity and equitable practices.
- 2. Develops personnel processes and programs that support the academic, physical, social, and emotional growth of all students.
 - **Evidence:** District personnel policies, procedures, practices, and programs clearly support the academic, physical, social, and emotional growth of all students.
- 3. Visibly and actively develops and communicates a positive and responsive culture of high expectations and well-being for self, staff, and all students.
 - **Evidence:** When interacting with the superintendent, board, staff, students, parents, and the community, the administrator uses oral and written communication strategies and problem-solving strategies that convey a positive and responsive culture of high expectations.

9. Leadership, Conduct, and Professional Growth

The administrator leads with enthusiasm, fairness, and integrity; demonstrates a high level of personal and professional conduct; participates in professional learning opportunities; and, models continuous improvement.

- 1. Leads with dignity and respect.
 - **Evidence:** When communicating with the superintendent, board, staff, students, parents, and the community, the administrator uses communication strategies and problem-solving strategies to convey dignity and respect.
- 2. Ensures consistent implementation of policy and practice.
 - **Evidence:** District policies and procedures guide the decision-making process to be just, fair, and equitable.
 - **Evidence:** When communicating with board, staff, students, parents, and the community, the administrator uses communication strategies and problem-solving strategies to inform just, fair and equitable decisions.
- 3. Models and articulates ethical behavior.
 - **Evidence:** District policies and procedures are grounded in an ethical framework, and the administrator models and leads with integrity and ethical behavior.
- 4. Consistently holds self and others in the district accountable for demonstrating integrity and ethical behavior.
 - **Evidence:** District policies and procedures are grounded in an ethical framework, and the administrator holds all staff accountable for behavior that may be deemed as lacking integrity or ethics.
- 5. Engages in professional learning and leadership opportunities that model continuous improvement needs of self and the organization.
 - **Evidence:** The administrator's professional development plan delineates needs and activities that model continuous improvement for the organization and opportunities to foster on-going leadership.

Section II NCSA Human Resource Administrator Evaluation System

The *Nebraska Human Resource Administrator Evaluation System* consists of process guidelines, the evaluation instrument, and performance targets.

Process Guidelines

- The superintendent shall confer with the human resource administrator regarding the evaluation process and the human resource administrator will receive a copy of the board approved evaluation instrument. In addition, the superintendent may request the following additional items:
 - o A list of the administrator's accomplishments for the year;
 - A self-evaluation/assessment by the administrator using the evaluation instrument including comments and any evidence or artifacts;
 - The administrator's performance targets.

Evaluation Documents:

Human Resource Administrator Evaluation Instrument Human Resource Administrator Performance Targets Human Resource Administrator Evaluation Summary

Evaluation Definitions:

The following definitions are to assist the individual completing the evaluation with understanding the rubrics associated with the standards and indicators. Evidence and artifacts are used to complete an effective evaluation. See *Section I Part 3* to review examples of evidence and artifacts.

Needs Improvement: There is little or no evidence of the standards and indicators being implemented or accomplished.

Developing: There is evidence of some standards and indicators being implemented or accomplished. Identified indicators may not be at the desired level of the superintendent. These indicators may not be fully developed or consistently implemented.

Effective: There is evidence that supports the standards and indicators are being fully implemented.

Highly Effective: The evidence of the implementation exceeds the expectations of the superintendent relative to the standards or indicators.

Comments on rating and evidence: Comments should relate to the standards, indicators, or evidence and provide clear guidance or commendation.

Performance Targets: Specific, measurable performance outcomes that will be accomplished during the year. The targets may be tied to the district's shared vision and strategic direction or may be a priority area identified by the administrator or the superintendent.

Meets Expectations: Once the input has been gathered and reviewed and the summary is completed, there is ample evidence the administrator is meeting the standards and indicators of performance.

Does Not Meet Expectations: Once the input has been gathered and reviewed and the summary is completed, there is little or no evidence the administrator is meeting the standards and indicators of performance.

Improvement Plan: If there are standards and indicators toward which the administrator needs improvement or development, the superintendent may require specific action for improvement on those standards and indicators. Criteria for an improvement plan can be found in **Section III**: **Resources.**

Human Resource Administrator Evaluation Instrument

1. Shared Vision and Strategic Direction

The administrator supports the development and implementation of the shared vision, strategic direction, and goals that engage the district's core values, beliefs, and priorities.

- 1. Develops and implements human resource systems that support the district's shared vision, strategic direction, and goals, and guides the district into ensuring high expectations for student learning and success.
- 2. Provides leadership to ensure personnel processes support the current and future needs of the district and school community.
- 3. Engages the superintendent, board, and stakeholders within the district and school community in understanding the human resource needs for implementing the district's shared vision, strategic direction, and goals.
- 4. Continually models and reinforces commitment to the district's shared vision, strategic direction and goals throughout all personnel processes.

	Needs Improvement
	Alignment with shared vision and strategic direction are not documented
	No evidence personnel processes support current and future needs
	Stakeholders have no or limited engagement in the vision/direction
	No evidence of modeling or reinforcing district's vision or direction
	Developing
	Alignment with vision or direction is documented
	Some evidence personnel processes support current and future needs
	Some evidence of stakeholder engagement
	Some evidence of modeling or reinforcing district's vision/direction
	Effective
	Vision and direction are aligned and used to guide human resource processes
	Evidence personnel processes support current and future needs
	Evidence of engagement with constituents in the development of vision/direction
	Evidence of modeling or reinforcing district's vision/direction in personnel processes
	Highly Effective
	Vision and direction are aligned and include measurable outcomes tied to human resource processes
	Evidence that human resource processes are transparent and clearly aligned with current and future needs
	Evidence of engagement with key stakeholders and constituents in the implementation of vision/direction
	Evidence of modeling and reinforcing district's vision/direction in all human resource processes
Comments	on rating and/or evidence: Click here to enter text.

2. Board, Policy, and the Education System

The administrator implements board policy and district processes through transparent practices that align with the district's shared vision, strategic direction, and goals.

- 1. Actively and continually fosters superintendent and board relationships, and keeps the superintendent and board informed and engaged in personnel matters as needed.
- 2. Proactively responds to district needs and policy priorities.
- 3. Provides leadership in the development, implementation, and review of personnel policies, procedures and practices.
- 4. Stays current on, responds to, and advocates for state or federal personnel policies, as needed to support the district's shared vision, strategic direction, and goals.
- 5. Proactively and collaboratively works to influence local, district, state, and national policies impacting human resource issues and needs.

Needs Improvement
Limited or inconsistent communication with superintendent, board members and staff
Policies are outdated, not in compliance with state or federal law, or not routinely reviewed
No evidence of collaborative practice to influence decisions impacting student learning
Developing
Provides updates and communicates regularly with superintendent, board members, and staff
Policies are routinely updated
Uses some collaborative strategies at the local level
Effective
Engages superintendent, board members, and staff in district needs and policy priorities
Policies are consistently reviewed and developed to incorporate state or federal policy, as needed
Some evidence of strategies to influence local, state, and national decisions
Highly Effective
Actively and consistently engages superintendent, board members, staff, and community in district needs and policy priorities
Evidence of leadership in compliance, review, and development of local policies
Evidence of collaborative support to influence local, state, and national decision

Comments on rating and/or evidence:	Click here to enter text.

3. Collaboration with Families and Community

The administrator leads through collaborative processes by engaging stakeholders and utilizing community resources in support of the shared vision, strategic direction, and goals for the school district.

- 1. Communicates regularly and openly with families and stakeholders in the community about district personnel strengths and needs.
- 2. Maintains a presence in the district and school community to understand its strengths and needs.
- 3. Understands and is engaged with community needs, priorities, and resources.
- 4. Models collaboration within the organization and encourages collaboration between administrators, teachers, families and the community at the school level.

	Needs Improvement
	Little or no evidence of collaboration in the organization
	Little or no evidence of consistent communication with families and stakeholders
	Little or no evidence of engagement with community organizations, or community activities
	Little or no evidence of identification of community needs, priorities, or resources
	Developing
	Some evidence of collaboration in the organization
	Some communication of school activities with families through newsletters and/or district website
	Participates in some community organizations or activities such as the Chamber and service organizations
	Demonstrates awareness of community needs, priorities, and resources
	Effective
	Routinely collaborates with board members, superintendent, and staff
	> Routinely uses oral and written communication strategies with families and the community regarding school
	activities and student achievement
	Actively involved in community organizations or activities, such as the Chamber, or service organizations
	Recognizes some community needs, priorities, or resources in the district and school planning.
	Ensures engagement of administrators, teachers, families, and community
	Highly Effective
	Models collaboration and supports staff collaboration throughout the organization
	Engages families and community stakeholders through routine and consistent oral and written
	communication strategies regarding school activities and student achievement
	Provides leadership and active participation in community organizations or activities such as the Chamber, or
	service organizations
Comments	on rating and/or evidence: Click here to enter text.

4. Continuous Improvement and Accountability

The administrator promotes student success through a focused and clearly articulated process of accountability and culture of continuous improvement.

- 1. Systematically reviews, anticipates, and analyzes emerging human resource trends and innovative strategies to continually improve all elements of the system.
- 2. Maintains comprehensive and current information about district personnel and district effectiveness.
- 3. Makes informed recommendations to the superintendent and decisions based on evidence and multiple data sources.
- 4. Engages families and communities on staff and student needs, successes, and challenges on a regular basis.
- 5. Aligns district processes with state and national indicators of quality, accreditation, and accountability.
- 6. Demonstrates a responsibility to accountability by modeling and ensuring everyone is held accountable for student success.

	Needs Improvement
	Little or no evidence of innovation or continuous improvement
	Little or no evidence of student information guiding decision-making
	Little or no evidence of use of quality indicators to guide district planning or practice
	Developing
	Some evidence of continuous improvement and innovation
	Some student information is used to guide decision-making
	Some quality indicators/accreditation standards guide district planning and practice
	Effective
	Evidence of the use of some systematic review or emerging trends and innovation in continuous improvement process
	 Information on student progress and achievement is used for planning and decision-making
	 Alignment between district and state quality indicators for accreditation and accountability
	Highly Effective
	 Strategic, comprehensive continuous improvement process incorporating emerging trends and innovation
	 Strategic, comprehensive continuous improvement process incorporating energing creates and innovation Comprehensive and current information on student progress and achievement is available and utilized in
	decision-making
	 Clear PK-12 alignment between district/state/national indicators of quality, accreditation, and accountability
	- Oldar 1.1. 12 angument book con allow toly boardy mandinar mandators of quantity, abort carbantors, and accounted by
Comments o	n rating and/or evidence: Click here to enter text.

5. Teaching and Learning

The administrator ensures student success through continuous improvement and leadership focused on evidence-based practices in teaching and learning.

- 1. Ensures district and school personnel provide a coherent system of curriculum, instruction, and assessment that aligns with the district's shared vision, strategic direction, and goals is culturally responsive and embodies high expectations.
- 2. Communicates high expectations for student achievement that is accomplished by a data-informed approach that produces effective results.
- 3. Implements robust evaluation processes to ensure district and school personnel implement curriculum and programs that are evidence-based and innovative and provide learning experiences and opportunities that lead all students to success at the next level.
- 4. Evaluation processes ensures curricular and instructional expectations are implemented with fidelity.
- 5. Incorporates and supports the implementation of evidence-based, instructional practices through professional learning and evaluation processes.

□ Nood	s Improvement
	-
>	=,,
	district vision/direction
>	= ,
	process
>	=
A	Little or no evidence of professional development in evidence-based instructional practices
☐ Deve	loping
>	Some evidence personnel provide a coherent system of curriculum, instruction and assessment aligned to
	district vision/direction
>	Some evidence personnel set high expectations for student achievement through a data-informed process
>	Some evidence of robust evaluation process to ensure fidelity to curriculum, instruction or assessment
>	Some evidence of professional development in evidence-based instructional practices
☐ Effec	· · · · · · · · · · · · · · · · · · ·
,	
,	district vision/direction
>	•
>	. , , , , , , , , , , , , , , , , , , ,
	ly Effective
•	
>	expectations, is culturally responsive, and aligned to district vision/direction Evidence that personnel set and consistently communicates high expectations for student achievement
_	. ,
>	through a data-informed process
_	Evidence of robust evaluation process to ensure fidelity to curriculum, instruction, and assessment is evidence based and innovative
,	Evidence of professional development in evidence-based and innovative instructional practices
Comments on rai	ting and/or evidence: Click here to enter text.
Comments on rul	ting unity of evidence. Click here to enter text.

6. Personnel Leadership

The administrator effectively uses strategies, processes, and systems to hire, develop, and retain high-performing personnel who demonstrate a shared commitment to student success.

- 1. Ensures the necessary personnel and financial resources are allocated to achieve the district's shared vision, strategic direction, and goals.
- 2. Implements human resource systems and processes that address:
 - recruitment, hiring, and induction;
 - evaluation and retention; and
 - short-term and long-term planning reflective of personnel needs.
- 3. Creates a comprehensive system of professional learning for all personnel.

Neeas Improvement
Little or no evidence of alignment of personnel and financial resources with district strategic vision/direction
Little or no evidence of short or long-term personnel planning
Little or no evidence of modeling life-long learning
Developing
Some evidence of alignment of personnel and financial resource allocation to achieve district vision/direction
Some evidence of short-term and long-term personnel planning
Some evidence of participation in life-long learning activities
Effective
Evidence of alignment of human resource and financial systems to achieve district vision/direction
Short-term and long-term planning that address recruitment, induction, development, evaluation, and
retention of high-performing diverse staff is in place
Evidence of on-going modeling of life-long learning
Highly Effective
 Evidence of ongoing strategic planning to ensure personnel and financial resources are allocated to achieve
district vision and direction
Short-term and long-term planning that address all aspects of recruitment, induction, development,
evaluation, and retention of high-performing diverse staff is in place, reviewed, and monitored
Models life-long learning by engaging and applying ongoing professional development
on rating and/or evidence: Click here to enter text.

7. Systems Leadership and Management

The administrator promotes student success by managing district resources and business procedures in a way that ensures a safe, efficient, and effective learning environment that supports student success.

- 1. Ensures financial and business processes timelines, and systems are in place for human resource needs.
- 2. Communicates expectations that align board and district vision with the use of physical, personnel, and financial resources of the district.
- 3. Uses a systems approach that optimizes the use of personnel, equipment, facilities, and transportation while maintaining a focus on clean, updated, safe, and secure facilities and vehicles.
- 4. Identifies and resolves issues, manages conflicts, and builds consensus about the use of physical, personnel, and financial resources.

	Needs Improvement
	Business processes are out of date and not clearly linked to student learning and success
	Facilities and equipment are not up-to-date, clean, safe, and secure
	Little evidence of building consensus, managing conflict, and resolving operational issues
	Developing
	Most business processes in place and using current best practices but not clearly linked to student learning and success
	Some evidence of facility and equipment planning
	Manages operational issues with little or no conflict
	Effective
	Business processes are in place using current best practices with a clear priority on student learning and success
	Processes are in place for ongoing facility/transportation planning and facilities; and vehicles are clean, safe, and secure
	Manages operational issues with little or no conflict and builds some consensus
	Highly Effective
	Business processes are in place using current best practices and are organized and reported to clearly link with the priority of student learning and success
	Processes are in place for optimizing facilities and transportation through planning; and a priority focus is on clean, updated, safe, and secure facilities and vehicles
	Identifies and resolves operational issues, manages conflict, and builds consensus
	· · · · · · · · · · · · · · · · · · ·
Comments	on rating and/or evidence: Click here to enter text.

8. Equity, Climate, and Culture

The administrator ensures, implements, and monitors processes, services, and programs that support district core values, beliefs, and priorities to ensure equity and enhance the academic, physical, social, and emotional growth of all students.

- 1. Co-creates a school system in which shared vision on equity and equitable practices are the norm.
- 2. Develops personnel processes and programs that support the academic, physical, social, and emotional growth of all students.
- 3. Visibly and actively develops and communicates a positive and responsive culture of high expectations and well-being for self, staff, and all students.

Needs Improvement
Little or no evidence of a shared vision on equity or equitable practices Little or no evidence of a shared vision on equity or equitable practices
 Little or no evidence that leadership promotes a sense of well-being, valuing diversity, and grounded in trust Little or no evidence of a responsive culture of high expectations
Developing
Some evidence of shared vision on equity and equitable practices
Some evidence that the leadership team promotes a sense of well-being, valuing diversity, and grounded in trust
Some evidence of a responsive culture of high expectations
Effective
Shared vision on equity and equitable practices is evidence through professional learning
Leadership team promotes a sense of well-being, valuing diversity, and grounded in trust through communication processes and district procedures
 Communication processes promote a culture of high expectations for self, staff, and all students
Highly Effective
Shared vision on equity and equitable practices is the norm through professional development, district processes, and procedures; and, is validated through an annual student/staff climate survey
Leadership team ensures a sense of well-being, valuing diversity, and grounded in trust through communication processes and district procedures; and, is validated through an annual student/staff climate survey
 Communication processes and annual student/staff climate survey validates a culture of high expectations for self, staff, and all students

Comments on rating and/or evidence:	Click here to enter text.

9. Leadership, Conduct, and Professional Growth

The administrator leads with enthusiasm, fairness, and integrity; demonstrates a high level of personal and professional conduct; participates in professional learning opportunities; and, models continuous improvement.

- 1. Leads with dignity and respect.
- 2. Ensures consistent implementation of policy and practice.
- 3. Models and articulates ethical behavior.
- 4. Consistently holds self and others in the district accountable for demonstrating integrity and ethical behavior.
- 5. Engages in professional learning and leadership opportunities that model continuous improvement needs of self and the organization.

Needs Improvement
Does not demonstrate dignity or respect when communicating with students, staff, families, or community
members
Decisions do not appear to be just, fair, or equitable
Does not model or hold others accountable for demonstrating ethical behavior
Does not participate in professional development or leadership activities
Developing
Does not consistently demonstrate dignity or respect when communicating with students, staff, families, or community members
Decisions do not consistently appear to be just, fair, or equitable
> Models ethical behavior but does not consistently hold others accountable for demonstrating ethical behavior
Participates in some professional development.
Effective
Demonstrates dignity and respect when communicating with students, staff, families, or community members
Most decisions appear to be just, fair, or equitable
Models ethical behavior and generally holds others accountable for demonstrating ethical behavior
Participates in professional development that is aligned with district vision and direction
Highly Effective
Consistently demonstrates enthusiasm, fairness, dignity, and respect when communicating with students, staff, families, or community members
> Decisions consistently appear to be just, fair, and equitable
Models integrity and ethical behavior and consistently holds others accountable for demonstrating integrity and ethical behavior
 Participates in professional growth and development that is aligned with district vision and direction and
takes the initiative to be involved in leadership opportunities in the profession and/or community
takes the initiative to be involved in leadership opportunities in the projession and/or community

Comments on rating and/or evidence:	Click here to enter text.

Administrator Performance Targets

Performance Target: Click here to enter text.

Clearly identify two or three significant performance targets to be accomplished during the next year. These targets must be specific and measurable and integrate with the district's shared vision and strategic direction.

Measure of Success or Evidence: Click here to enter text.
Comments: Click here to enter text.
Performance Target: Click here to enter text.
Measure of Success or Evidence: Click here to enter text.
Comments: Click here to enter text.
Performance Target: Click here to enter text.
Measure of Success or Evidence: Click here to enter text.
Comments: Click here to enter text.
Summary Comments/Recommendations Click here to enter text.

Administrator Evaluation Summary

Shar	red Vision and Stra	ategic Direction	
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text.
Boa	rd, Policy, and the	Education System	
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text.
Colla	aboration with Fa	milies and Community	
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text.
Con	tinuous Improven	nent and Accountability	
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text.
Teac	ching and Learnin	g	
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text.
Pers	sonnel Leadership		
	Needs Improvement Developing Effective Highly Effective	Summary Comments/Recommendations:	Click here to enter text

7.	S <u>ystems Leadership a</u>		
	☐ Needs Improvement	Summary Comments/Recommendations:	Click here to enter text.
	☐ Developing		
	☐ Effective		
	☐ Highly Effective		
8	Equity, Climate, and C	ulture	
0.	□ Needs Improvement	Summary Comments/Recommendations:	Click here to enter text.
	☐ Developing		
	☐ Effective		
	☐ Highly Effective		
	3 7	1	
9.	Leadership, Conduct,	and Professional Growth	
	☐ Needs Improvement	Summary Comments/Recommendations:	Click here to enter text.
	□ Developing		
	☐ Effective		
	☐ Highly Effective		
\square M	leets Expectations; Recom	mend Contract Renewal	
	,,,		
\Box In	nprovement Plan attached	l; Recommend Contract Renewal	
\Box D_{α}	nes Not Meet Fynectations	Do Not Recommend Contract Renewal	
	oes wot weet Expectations,	Do Not Recommend contract Renewal	
			
Superin	tendent's Signature	Administrator's Signature	
Date	Click here to enter a date.	Date Click here to enter a date.	

Section III Resources

The following section is designed to provide resources for the administrator and the superintendent. These resources may be modified to meet the needs of the user. Over time, additional resources will be developed and added to this section. In the future, the resources will include exemplary examples of best practices and artifacts. It may also include links to useful information or resources.

Contents

Recommended Evaluation Timeline Standards and Evaluation Checklist Improvement Plan Evidence and Artifacts

Recommended Timeline

The following is a suggested timeline for the administrator's evaluation process.

May-July

- Administrator identifies performance targets for the upcoming school year.
- Superintendent and administrator review and agree upon performance targets.

August-December

- Administrator provides the superintendent with regular updates on progress toward performance targets.
- Administrator provides the superintendent with updates, evidence, and artifacts aligned to the NCSA Administrator Standards.

January-March

- Administrator completes the self-assessment and reports on performance targets.
- Administrator provides the superintendent with any artifacts or evidence pertinent to the evaluation process.
- Superintendent reviews performance targets, artifacts, evidence, and administrator's self-assessment.
- Superintendent completes evaluation summary.
- Superintendent shares evaluation results with the administrator.
- Superintendent presents contract recommendations or modifications.

Standards and Evaluation Checklist

Sta	n	d	a	r	d	S

$\hfill \square$ Administrator and Superintendent review and discuss the standards, indicators, and artifacts. $\hfill \square$ Board adopts the standards.
Evaluation system
\square Superintendent and administrator reviews timeline and evaluation system.
☐ Superintendent makes modifications to meet local needs.
☐ Board adopts recommended evaluation system.
\square Superintendent annually completes the administrator's evaluation process.

Improvement Plan

If it is recommended to focus on areas needing improvement, the following elements should be included in an Improvement Plan:

- Clearly stated identification of the specific standards or indicators that need improvement.
- Measurable goal(s) for growth and improvement to a level that would be satisfactory.
- Clear and specific activities required to accomplish the goal(s).
- Evidence and artifacts that will be expected to determine if progress is being made or if the goal(s) are being accomplished.
- Clearly defined timelines, including benchmarks or progress checkpoints, and a final date for completion.
- Summary or record of progress and/or completion. Signed and dated by the administrator and superintendent.

Evidence and Artifacts

This section will be developed with input from administrators across Nebraska. When completed, it will provide more detailed descriptions and examples of evidence and artifacts.

Section IV References

External Review and Validation

A special thank you to the following individuals who provided input and expertise throughout the development of the *NCSA Superintendent Standards and Evaluation System*:

Mike Apple Dr. Greg Barnes

Ogallala Public Schools Seward Public Schools

Tim DeWaard Craig Kautz

Centennial Public School Hastings Public Schools

Dr. Troy Loeffelholz David Ludwig

Columbus Public Schools Educational Service Unit Coordinating Council

Dr. Damon McDonald Kyle McGowan
Aurora Public Schools Crete Public Schools

Rex Pfeil Dr. Mike Sieh

Blair Community Schools Stanton Community Schools

Dr. Mike Teahon Dr. Bob Uhing

Gothenburg Public Schools Educational Service Unit #1

Greg Perry Rex Schultze

Perry, Guthery, Haase, & Gessford Perry, Guthery, Haase, & Gessford

Karen Haase Bobby Truhe
KSB School Law KSB School Law

Roy Baker Ed Rastovski

Baker & Rastovski School Services Baker & Rastovski School Services

Alan Harms James Havelka

Nebraska Rural Community Schools Association Nebraska Rural Community Schools Association

Dr. Jon Habben

Nebraska Rural Community Schools Association

NCSA Administrator Standards & Evaluation Process

Brad Dahl Dr. Chad Dumas

Fremont Public Schools Hastings Public Schools

Ellen Stokebrand Dr. John Skretta

Educational Service Unit #4 Norris School District #160

Nebraska School Resources

The following school districts submitted copies of current superintendent evaluation instruments, processes, policies and job descriptions that were used as a reference in the development of the **NCSA Superintendent Standards and Evaluation System**.

Columbus Public Schools Crete Public Schools

Palmyra District OR-1 Doniphan-Trumbull Public Schools

Educational Service Unit #1 Educational Service Unit #7

Gothenburg Public Schools Lincoln Public Schools

Millard Public Schools Norris School District #160

Scottsbluff Public Schools Seward Public Schools

Stanton Community Schools Twin Rivers Public Schools

School District #145 - Waverly

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